

Appendix One: Audit Status, Opinions and Business Impact

Audit Area	Audit Status	Assurance Opinion	Business Impact
Children's and Families 2018/19 Brought Forward Work			
Assessed and Supported Year in Employment (AYSE) 21.5.19	Final	Moderate ●	Not Set – 2018/19 audits
Schools Procurement (Thematic) 12.7.19	Final	Moderate ●	
Children's Services – Management Oversight and Supervisions 9.5.19	Final	Moderate ●	
Deprivation of Liberty Safeguards (DoLS) 3.5.19	Final	Limited ●	
Floating Support - Support to Homeless Citizens in Temporary (Dispersed) Accommodation 29.5.19	Final	Limited ●	
Adults Services – Management Oversight and Supervisions 5.4.19	Final	Limited ●	
Mental Health Casework Compliance 5.4.19	Final	Limited ●	
St Matthew's RC High School 3.5.19	Final	Limited ●	
Off Rolling of Pupils	Final	Moderate ●	
Manley Park High School	Final	Moderate ●	
Ofsted Improvement Plan	Draft	Moderate ●	
Planning for Permanence	Fieldwork complete	Set at Draft	
Local Care Organisation – Governance	Fieldwork complete		
MHCC – Financial Framework Compliance	Fieldwork started		
Children's and Education Services 2019/20			
St Peter's Catholic Primary School, Financial Health Check	Fieldwork complete	Set at draft	Low
Schools Assurance Framework	Fieldwork started	Set at draft	Medium
Free Early Education Entitlement (FEEE)	Planning	Set at draft	High
Adoptions Policy and Procedure	Not started		High
Children's Services: Quality Assurance Framework and Safeguarding and Improvement Unit			High
Early Help: Delivery			High
Children's Services – Supervisions and Management Oversight – Follow Up			High

Audit Area	Audit Status	Assurance Opinion	Business Impact
Schools Quality Assurance Framework			High
SATs Quality Assurance Framework			High
Safer Recruitment			High
Special Educational Needs (SEND)			High
Adults Services, including MHCC and MLCO 2019/20			
MHCC – Financial Sustainability Plan	Fieldwork started	Set at draft	Medium
Adults Improvement Plan Governance	Planning		High
Manchester Services for Independent Living (MSIL)			High
Adults Improvement Plan Delivery (block of time)	Not started		High
Adults Social Work Casework Compliance			High
Integrated Delivery Teams			High
Mental Health Panels			High
Health and Social Care Assurance Framework			High
Manchester Health and Care Commissioning Block			High
Deprivation of Liberties – Follow Up			High
Mental Health casework – Follow Up			High
Adults Services – Management Oversight and Supervisions – Follow Up			High
Corporate Services Brought Forward Work 2018/19			
Core Systems: Payments (SAP) 09.05.19	Delivered	Not set	Not set 2018/19 audits
Core Systems: Revenue Budget Monitoring 14.05.19	Delivered	Substantial ●	
Our Manchester VCS Grants – Outcome Monitoring 20.06.19	Delivered	Moderate ●	
GDPR – Post Implementation Review 20.06.19	Delivered	Substantial ●	
Risk Governance Assurance 24.05.19	Delivered	Substantial ●	
Data Centre Replacement	Field Work Completed	Briefing note	

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Our Manchester – Performance Management Framework	Field Work Completed	Briefing note	
Corporate Services 2019/20			
Our Town Hall: Allocation of Work Packages 28.05.19	Delivered	Substantial ●	N/A Assurance Review
Grant Certification: Greater Manchester Pension Fund 03.05.19	Delivered	Not applicable – non opinion audit work	
Core Systems: Payroll Continuous Audit (Q1) 12.07.19	Delivered		
Core Systems: Treasury Management Draft 05.07.19	Draft	Substantial ●	Medium
GSuite: Application Audit Draft 10.07.19	Draft	Reasonable ●	High
Cyber Security	Field Work Completed	Set at draft	High
Grant Certification: Carbon Reduction Commitment	Field Work Started		Medium
Core Systems: Revenue Budget Setting	Planning		Medium
Core Systems: Income (SAP)			Medium
Data Protection Impact Assessments			Medium
Officer Decision Making: Recording			Not Started
Capital Strategy: Governance	High		
Recruitment and Selection	Medium		
Workforce Development Planning	Medium		
User Experience Programme: Asset Management	Medium		
Our Town Hall (Block)	High		
Liquidlogic (Block)	High		
Digital Experience Programme (Block)	Medium		
Core Systems: Council Tax	High		
Core Systems: Income (Other)	Medium		
Core Systems: Payroll Continuous Audit (Q2-Q4)	Medium		
Grant Certification: URBACT C-Change		Low	

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Grant Certification: Interreg ABCitiEs			Low
Liquidlogic: Access Control	Planning	Advice and Guidance	
Annual Governance Statement	Not Started		
Our Town Hall: Incentive Model			
Capital Project Management			
Software Licensing: Follow up		Discovery Review	
		Follow Up Audit	
Core Financial Systems: Assurance Framework	Planning	Not applicable – non opinion audit work	Low
Making Tax Digital	Not Started		Low
Corporate Core Transformation			Low
ICT Assurance Framework			Low
Growth and Development and Neighbourhoods Brought Forward Work 2018/19			
Highways Framework Contracts – Award of Work, Monitoring of Payments and Performance 25.04.2019	Final	Moderate ●	Not set 2018/19 audits
MSIRR (Regent Road) – First Payment Request 15.05.2019	Final	Briefing Note	
MSIRR (Regent Road) – Second Payment Request 14.06.2019	Final	Briefing Note	
Northwards Capital Project Management 25.06.2019	Final	Substantial ●	
Governance of City Centre Delivery	Draft	Moderate ●	
Growth and Development and Neighbourhoods 2019/20			
Neighbourhood Investment Fund	Fieldwork Started	Set at draft	Low
Regent Road - 3rd Payment Review			High
GM Road Activities Permit Scheme (GMRAPS)	Planning		Medium
Section 106 (Planning Obligations)			Medium
Trading Standards			Medium
Residential Growth Strategy and Affordable Housing			High
Approach to Neighbourhood Delivery			High
Highways Assurance Framework			High

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Highways Investment Programme Plan	Not Started		High
Highways Service Redesign			Medium
Highway Grant Certifications			Low
Highways Contracts Financial Due Diligence			Medium
NCP Contract replacement			High
Approach to Recycling			High
Contract Monitoring: Leisure			Medium
Casework Management: Flare Upgrade			Medium
Planning Applications			Medium
Management of Major Housing Developments within the City.			High
DFG (Main) Grant Certification			Low
DFG (Additional) Grant Certification			Low
Work and Skills			Medium
Regent Road - Final Payment Review			High
Procurement, Commissioning and Contracts (PCC) 2018/19 Brought Forward Work			
Prevention and Detection of Procurement Fraud – Use of System Data 06.06.19	Final	Moderate ●	Not set 2018/19 audit
Procurement, Commissioning and Contracts (PCC) 2019/20			
PCC Assurance Framework	Fieldwork started	Development	N/A
Public Contracts Regulations Compliance	Fieldwork complete	Set at draft	Medium
Decommissioning Contracts: Leaving Care	Planning		Medium
Contract Management: Adults (Complex Needs)	Planning		High
Contract Management: Children's (Placements)	Not started		High
Contracts Performance Management: Key Performance Indicators			High
Contract Monitoring: Leisure (Growth and Neighbourhoods Plan)			High
Contract Management: Block			Medium

Audit Area	Audit Status	Assurance Opinion	Business Impact
Factory Project			High
Framework Agreements: Award and Selection			High
Contracts Spend Review			High
Factory Project Grant Certification			Mandatory
Highways Framework Follow Up 17.06.19	Final	Implemented ●	Medium
Insurance Arrangements in Contracts Follow Up 18.06.19	Final	Implemented ●	Medium
Contractor Whistleblowing Arrangements Follow Up	Fieldwork complete	Set at draft	Medium
Taxi Framework Follow Up	Fieldwork started	Set at draft	Medium
Contract Governance Framework Agreements – Follow Up	Not started	Set at draft	Medium
Modern Slavery: Safeguarding in Contracts	Not started	Not Set - Discovery	High