










Appendix One: Audit Status, Opinions and Capacity to Improve (where assessed)

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Children's Services			
Troubled Families Validation of Performance Data 2017/18	Final	Moderate ●	Medium
Early Help 2017/18	Final	Moderate ●	Medium
Children Missing from Home or Care 2017/18	Final	Moderate ●	Medium
MASH follow up 2017/18	Final	Limited ●	Medium
Early Years 30 Hours Funding	Final	Limited ●	Not Set
Troubled Families Follow Up	Final	Not applicable	
Risk Management (Children's)	See Corporate Risk Management Audit		
Management Oversight and Supervisions	Fieldwork	Set at Draft	Set at Final
Assessed and Supported Year in Employment (AYSE) compliance	Fieldwork		
Planning for Permanence	Planning		
Protect / Complex Safeguarding	Planning		
Getting to Good Plan	Planning		
Education, Skills and Schools			
Penalty Notices for Unauthorised Absence (Schools)	Draft	Moderate ●	Set at Final
Thematic School Audit: Procurement	Fieldwork Complete	Set at Draft	Set at Final
Thematic School Audit: Cash	Fieldwork Complete		
Off Rolling of Pupils	Planning		

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Annual Schools Financial Value Standard (SFVS) Return 2019	Planning	Advice and Guidance	
Special Education Needs	Planning	Advice and Guidance	
Adults			
MHCC: Governance Arrangements 2017/18	Final	Moderate 	Not set
Manchester Health and Care Commissioning: Operational Plan 2017/18	Final	Substantial 	Not set
Support to MHCC and LCO Assurance Framework Development	Fieldwork: Ongoing Advice and Guidance		
Management Oversight and Supervision	Draft	Limited 	Set at Final
Mental Health Casework Compliance	Draft	Limited 	
Transitions: Follow Up	Fieldwork Complete	Set at Draft	
Risk Management (Adults)	See Corporate Risk Management Audit		
Client Financial Services: Pre Paid Cards- Follow Up	Draft	Set at Draft	Set At Final
Supported Accommodation Quality Assurance Framework LD	Fieldwork	Set at Draft	Set at Final
Mental Health Panels – Decision Making	Planning		
Quality Assurance Framework	Planning		
Homelessness Reduction Act compliance	Planning		
Local Care Organisation: SLA	Not started		
Local Care Organisation: Governance Arrangements	Not started		
Deprivation of Liberties (DoLs) - New	Planning		

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Adult Social Care – Resource Allocation System and Panel Decision Making	Scope and timing under review		
Adults Social Care Panels (RAS) – Compliance	Scope and timing under review		
MHCC Financial Framework Compliance	Planning	Set at Draft	Set at Final
MHCC Financial Sustainability Plan Delivery	Planning		
Risk Management (Adults)	See Corporate Risk Management Audit		
Manchester Service for Independent Living (MSIL)	Deferred to Q1 2019/20		
Core			
IR35 Compliance – Advice & Guidance 2017/18	Final	Not Set	
Disclosure & Barring Service 2017/18	Final	Moderate 	N/A
Grant Certifications - Greater Manchester Pension Fund	Final	Certified 	N/A
Payroll Continuous Auditing – Quarter One	Final	Not Set	
Core Systems - Payments (Purchase Cards)	Final	Moderate 	High
Grant Certifications – Arts Council Factory Project 2017/18	Final	Not Set	
Grant Certifications - Growth Deal	Final		
Grant Certifications - Carbon Reduction Commitment	Final		
Grant Certifications - URBACT III (Claim Q2)	Final		
Payroll Continuous Auditing - Q2	Final		
Overtime: Compliance	Final	Full 	Not Set
Our Town Hall: Decant and Disposal (Portable Assets)	Final	Moderate 	High

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Housing Revenue Account - Financial Administration	Final	Substantial ●	Not Set
Payroll Continuous Auditing - Q3	Final	Not Set	
Capital Strategy – Benefits Realisation	Final	Moderate ●	High
Our Town Hall – Appointment of Management Consultant	Final	Assurance Review: Positive Assurance ●	
Our Town Hall – Six Month Health Check	Final	Substantial ●	Not Set
Grant Certifications - Revolving Investments in Cities of Europe (RICE)	Final	Not set	
Risk Management (Core)	Fieldwork complete	Set at Draft	Set at Final
Core Finance Systems - Payments (SAP) BACS	Fieldwork started		
Core Finance Systems - Revenue Budget Monitoring	Fieldwork started		
Core Finance Systems - Benefits and Risk Based Verification	Fieldwork started		
Annual Governance Statement	Fieldwork Started		
Payroll Continuous Auditing - Q4	Planning		
Our Manchester Grants - Outcome Monitoring	Not started		
Our Manchester - Performance Management Framework	Not started		
Grant Certifications - URBACT III (Claim Q4)	Not started		
Recruitment and Selection	Deferred to Q1 2019/20 Subject to Audit Committee Comments		
ICT and Information			
Liquidlogic – Data Migration & Testing (Phase 1) 2017/18	Final	Moderate ●	Not set
Application Audit – One System 2017/18	Final	Moderate ●	High

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Application Audit – SAP 2017/18	Final	Moderate ●	Medium
Mobile Connections: Follow Up	Final	Not set	
Going Google 2017/18	Final	Position Statement	
LiquidLogic Position Statement	Final		
Liquidlogic and ContrOCC (Data Migration and User Acceptance Testing - system finalisation phase)	Draft	Limited ●	Set at Final
PSN Code of Connection	Fieldwork Started	Set at Draft	Set at Final
Cyber Security	Planning		
Application Audit - GSuite	Planning		
GDPR - Post Implementation Compliance Review	Planning		
Data Retention and Disposal	In GDPR review		
Data Centre Replacement	Not started	Set at Draft	Set at Final
Software Licensing: Follow Up	Deferred to 2019/20		
Growth and Neighbourhoods			
Retail Market Income 2017/18	Final	Moderate ●	High
Our Town Hall – Governance	Final	Position Statement	
Our Town Hall – Security	Final	Substantial ●	Not Set
Risk Management (G&N)	See Corporate Risk Management Audit		
Leisure Contract Performance Management	Advice and Guidance		
Strategic Development			

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Income and Debt Management: Investment Estate 2017/18	Final	Moderate ●	High
Grant Certification – Disabled Facilities Grant	Final	Certified	Not Set
Risk Management (Strategic Dvt)	See Corporate Audit		
City Centre Review - Governance	Fieldwork Complete	Set at Draft	Set at Final
Northwards Programme and Project Health Check	Not started		
Capital Project Health Checks and Payments	Not started		
Highways			
Highways Pothole and Patching 2017/18	Final	Moderate ●	Medium
Grants Certification - Cycle City	Certified	Positive Assurance: Certified without Exceptions	
Grants Certification - Highways LTP Capital Maintenance			
Grants Certification – Pot Hole Action Fund			
Highways Capital - Project Health Checks and Payments	Planned	Set at Draft	Set at Final
Highways Revenue Contracts - Award of Work/Monitoring Payments	Planned		
Grant Certification - Highways Maintenance Efficiency Grant	Planned		
Grants Certification - Highways Challenge Fund	Cancelled – No certification required		
Grants Certification - Highways Local Pinch Point	Cancelled – No certification required		
Procurement, Commissioning and Contracts			
Contract Management – HROD	Final	Moderate ●	High
Contract Management – Galleries	Final	Moderate ●	High

Audit Area	Audit Status	Assurance Opinion	Capacity to Improve
Multi Links Commissioning – Advice and Guidance	Final	Not Set	
Contractor Insurance Arrangements	Final	Limited ●	Medium
Contractor Whistleblowing Arrangements	Final	Limited ●	Medium
Factory Project: Governance Arrangements	Final	Moderate ●	High
Homecare Contract Follow Up	Final	Not applicable	
Highways Framework	Final	Limited ●	High
Taxi Framework	Final	Limited ●	High
Financial Services Framework	Final	Moderate ●	High
Frameworks Contract Governance – Overall Report	Draft	Limited ●	High
Corporate Contract Development	On going Advice and Guidance		
Social Value	Fieldwork Complete	Set at draft	Set at final
Assurance Mapping (Contracts)	Fieldwork		
Procurement Fraud: Spend Review	Planning		
Public Contracts Regulations Compliance	Deferred to 2019/20		