










Appendix One: Audit Status, Opinions and Business Impact







Audit Area	Audit Status	Assurance Opinion	Business Impact
Children's and Families 2018/19 Brought Forward Work			
Assessed and Supported Year in Employment (AYSE) 21.05.19	Delivered	Moderate ●	Not Set – 2018/19 audits
Schools Procurement (Thematic) 12.07.19	Delivered	Moderate ●	
Children's Services – Management Oversight and Supervisions 09.05.19	Delivered	Moderate ●	
Deprivation of Liberty Safeguards (DoLS) 03.05.19	Delivered	Limited ●	
Floating Support - Support to Homeless Citizens in Temporary (Dispersed) Accommodation 29.05.19	Delivered	Limited ●	
Adults Services – Management Oversight and Supervisions 05.04.19	Delivered	Limited ●	
Mental Health Casework Compliance 05.04.19	Delivered	Limited ●	
St Matthew's RC High School 03.05.19	Delivered	Limited ●	
Off Rolling of Pupils 06.06.19	Delivered	Moderate ●	
Manley Park Primary School 09.05.19	Delivered	Moderate ●	
Ofsted Improvement Plan 17.10.19	Delivered	Moderate ●	
Planning for Permanence	Draft	Reasonable ●	
Manchester Local Care Organisation – Governance 11.09.19	Delivered	Limited ●	
Manchester Heath Care Commissioning – Financial Framework Compliance 17.10.19	Delivered	Substantial ●	
Children's and Education Services 2019/20			
St Peter's Catholic Primary School, Financial Health Check 05.09.19	Delivered	Substantial ●	Low
St Luke's C of E Primary School, Financial Health Check 11.10.19	Delivered	Substantial ●	Low
Free Early Education Entitlement (FEEE)	Fieldwork		High

Audit Area	Audit Status	Assurance Opinion	Business Impact
	complete		
Schools Assurance Framework (Assurance Mapping)	Fieldwork started	Set at draft	Medium
Early Help Delivery			High
Children's Services: Quality Assurance Framework and Safeguarding and Improvement Unit	Not started		High
Adoptions Policy and Procedure			High
SATs Quality Assurance Framework			High
Safer Recruitment			High
Special Educational Needs (SEND)			High
Schools Quality Assurance Framework			High
Post Ofsted Plan Monitoring			Cancelled Consider for 2020/21 audit planning
Children's Services – Supervisions and Management Oversight – Follow Up	Rescoped Included in recommendation monitoring		
Adult Services, including MHCC and MLCO 2019/20			
MHCC – Financial Sustainability Plan	Fieldwork complete	Set at draft	Medium
Adults Improvement Plan Governance			High
Deprivation of Liberties – Follow Up	Fieldwork started		High
Manchester Services for Independent Living (MSIL)	Planning		High
Adults Social Work Casework Compliance			High
Adults Improvement Plan Delivery			High
Integrated Delivery Teams	Not started		High
Mental Health Panels			High
Health and Social Care Assurance Framework			High
Manchester Health and Care Commissioning Block			High
Mental Health casework – Follow Up		High	
Adults Services – Management Oversight and Supervisions – Follow Up		High	

Audit Area	Audit Status	Assurance Opinion	Business Impact
Corporate Services Brought Forward Work 2018/19			
Core Systems: Payments (SAP) 09.05.19	Delivered	Not set	Not set 2018/19 audits
Core Systems: Revenue Budget Monitoring 14.05.19	Delivered	Substantial 	
Our Manchester VCS Grants – Outcome Monitoring 20.06.19	Delivered	Moderate 	
GDPR – Post Implementation Review 20.06.19	Delivered	Substantial 	
Risk Governance Assurance 24.05.19	Delivered	Substantial 	
Data Centre Replacement 25.07.19	Delivered	Briefing note	
Our Manchester – Performance Management Framework	Delivered	Briefing note	
Corporate Services 2019/20			
Our Town Hall: Allocation of Work Packages 28.05.19	Delivered	Substantial 	Assurance Review
Grant Certification: Greater Manchester Pension Fund 03.05.19	Delivered	Not applicable – non opinion audit work	
Core Systems: Payroll Continuous Audit (Q1) 12.07.19	Delivered		
Grant Certification: Carbon Reduction Commitment 26.07.19	Delivered	Grant Cert	Medium
Core Systems: Treasury Management 30.07.19	Delivered	Substantial 	Medium
GSuite: Application Audit 10.09.19	Delivered	Reasonable 	High
Cyber Security 18.10.19	Delivered	Not disclosed	High
Liquidlogic: Access Control 30/07.19	Delivered	Advice and Guidance	
Software Licensing: Follow up 11.10.19	Delivered	Follow Up Audit	
Data Protection Impact Assessments	Draft	Set at Final	Medium
Recruitment and Selection	Fieldwork complete		Medium
ICT Assurance Framework	Planning		Medium

Audit Area	Audit Status	Assurance Opinion	Business Impact
User Experience Programme: Asset Management	Planning		Medium
Digital Experience Programme (Block)			Medium
Core Systems: Income (SAP)			Medium
Core Systems: Payroll Continuous Audit (Q3)			Medium
Core Systems: Payroll Continuous Audit (Q4)			Medium
Grant Certification: URBACT C-Change			Low
Grant Certification: Interreg ABCitiEs			Low
Our Town Hall: Incentive Model			High
Our Town Hall: Cost Surety of Work Packages Construction Budget			High
Officer Decision Making: Recording			Not Started
Annual Governance Statement	Medium		
Capital Programme Management	High		
Core Financial Systems: Assurance Framework	Planning	Not set – non opinion audit work	Low
Making Tax Digital	Not Started		Low
Corporate Core Transformation			Low
Capital Strategy: Governance	Rescoped Included in Capital Programme Management		
Core Systems: Revenue Budget Setting	Cancelled		Medium
Core Systems: Income (Other)			Medium
Core Systems: Council Tax			High
Workforce Development Planning			Medium
Growth and Development and Neighbourhoods Brought Forward Work 2018/19			
Highways Framework Contracts – Award, Payments and Performance 25.04.2019	Delivered	Moderate 	Not set 2018/19 audits
Northwards Capital Project Management 25.06.2019	Delivered	Substantial 	
Governance of City Centre Delivery	Draft	Moderate	

Audit Area	Audit Status	Assurance Opinion	Business Impact	
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Growth and Development and Neighbourhoods 2019/20				
Neighbourhood Investment Fund 02.09.19	Delivered	Reasonable ●	Low	
New: MSIRR (Regent Road) 1st Payment Review 15.05.19	Delivered	Briefing Note	High	
New: MSIRR (Regent Road) 2nd Payment Review 14.06.19	Delivered	Briefing Note	High	
New: MSIRR (Regent Road) 3rd Payment Review 26.07.19	Delivered	Briefing Note	High	
New: MSIRR (Regent Road) 4th Payment Review 08.08.19	Delivered	Briefing Note	High	
GM Road Activities Permit Scheme (GMRAPS) 15.10.19	Delivered	Reasonable ●	Medium	
DFG (Main) Grant Certification 08.10.19	Delivered	Grant Certified	Low	
DFG (Additional) Grant Certification 08.10.19	Delivered		Low	
Section 106 (Planning Obligations)	Fieldwork complete	Set at Draft	Medium	
Highways Service: Programme and Project Management Assurance	Fieldwork started		High	
Trading Standards	Not started		Medium	
Residential Growth Strategy and Affordable Housing			High	
Approach to Neighbourhood Delivery			High	
Highways Grant Certifications			Low	
NCP Contract replacement			High	
Approach to Recycling			High	
Contract Monitoring: Leisure			Medium	
Planning Applications			Medium	
Management of Major Housing Developments within the City				High

Audit Area	Audit Status	Assurance Opinion	Business Impact
Work and Skills			Medium
New: MSIRR (Regent Road) - Final Payment Review			High
Highways Assurance Framework	Rescoped Replaced by Highways Programme and Project Management		High
Highways Investment Programme Plan			High
Highways Service Redesign			Medium
Highways Contracts Financial Due Diligence	Rescoped Engagement in Task and Finish Working Group		Medium
Casework Management: Flare Upgrade	Cancelled Pending tender exercise for replacement		Medium
Procurement, Commissioning and Contracts (PCC) 2018/19 Brought Forward Work			
Prevention and Detection of Procurement Fraud – Use of System Data 06.06.19	Delivered	Moderate 	Not set 2018/19 audit
Procurement, Commissioning and Contracts (PCC) 2019/20			
PCC Assurance Framework 09.10.19	Delivered	Briefing note	N/A
Public Contracts Regulations Compliance 02.09.19	Delivered	Reasonable 	Medium
Highways Framework Follow Up 17.06.19	Delivered	Implemented 	Medium
Insurance Arrangements in Contracts Follow Up 18.06.19	Delivered	Implemented 	Medium
Taxi Framework: Follow Up 26.09.19	Delivered	Implemented 	Medium
Contractor Whistleblowing Arrangements Follow Up 18.07.19	Delivered	Implemented 	Medium
New: Social Transport Route Allocation Advice 18.09.19	Delivered	Briefing Note	Medium
Decommissioning Contracts: Leaving Care	Draft	Set at Final	Medium
Contract Management: Adults (Complex Needs)	Planning		Medium
Factory Project	Planning		High
Contracts Performance Management: Key Performance Indicators	Not started		High

Audit Area	Audit Status	Assurance Opinion	Business Impact
Contract Monitoring: Leisure Contract			High
Framework Agreements: Award and Selection			High
Contracts Spend Review			High
Contract Governance Framework Agreements – Follow Up			High
Factory Project Grant Certification		Not set Grant Certification	Medium
Modern Slavery: Safeguarding in Contracts	Not Set - Discovery	Mandatory	
Contract Management: Children's (Placements)	Deferred		High
Contract Management: Block	Cancelled		High